


# CSCI426/CSCI926

## Software Testing and Analysis



### Symbolic Execution

**Acknowledgement:** Some slides are adapted from Omar Chowdhury, Jeff Foster, and Pezze & Young

# Testing

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- ❑ Fits well with developer intuitions
- ❑ In practice, most common form of bug-detection
- ❑ But each test explores only one possible execution of the system
- ❑ Depends on the quality of the test cases or inputs
- ❑ Provides little in terms of coverage

# Symbolic Execution

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- ❑ Key idea: generalize testing by using unknown symbolic variables in evaluation
- ❑ Symbolic executor executes program, tracking symbolic state.
- ❑ Builds *predicates* that characterize
  - Conditions for executing paths
  - Effects of the execution on program state
- ❑ Bridges program behavior to logic
- ❑ Finds important applications in
  - program analysis
  - test data generation
  - formal verification (proofs) of program correctness

# Let's work through this example

---

```
Void func(int x, int y){  
    int z = 2 * y;  
    if(z == x){  
        if (x > y + 10)  
            ERROR  
    }  
}  
  
int main(){  
    int x = sym_input();  
    int y = sym_input();  
    func(x, y);  
    return 0;  
}
```

- What are the test cases to cover all the paths in this function?

# Obvious Questions?

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**Yes, we can.**

Can

or test

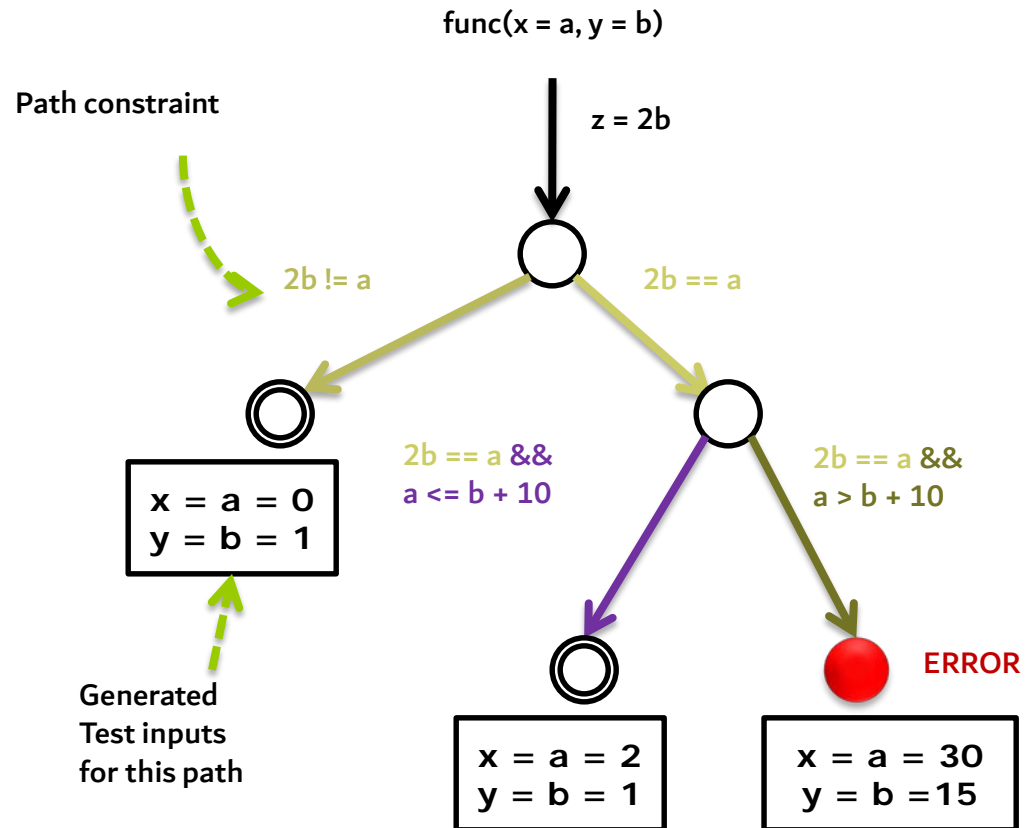
line how make it

omatic?

# Symbolic Execution

```
Void func(int x, int y){  
    int z = 2 * y;  
    if(z == x){  
        if (x > y + 10)  
            ERROR  
    }  
}  
  
int main(){  
    int x = sym_input();  
    int y = sym_input();  
    func(x, y);  
    return 0;  
}
```

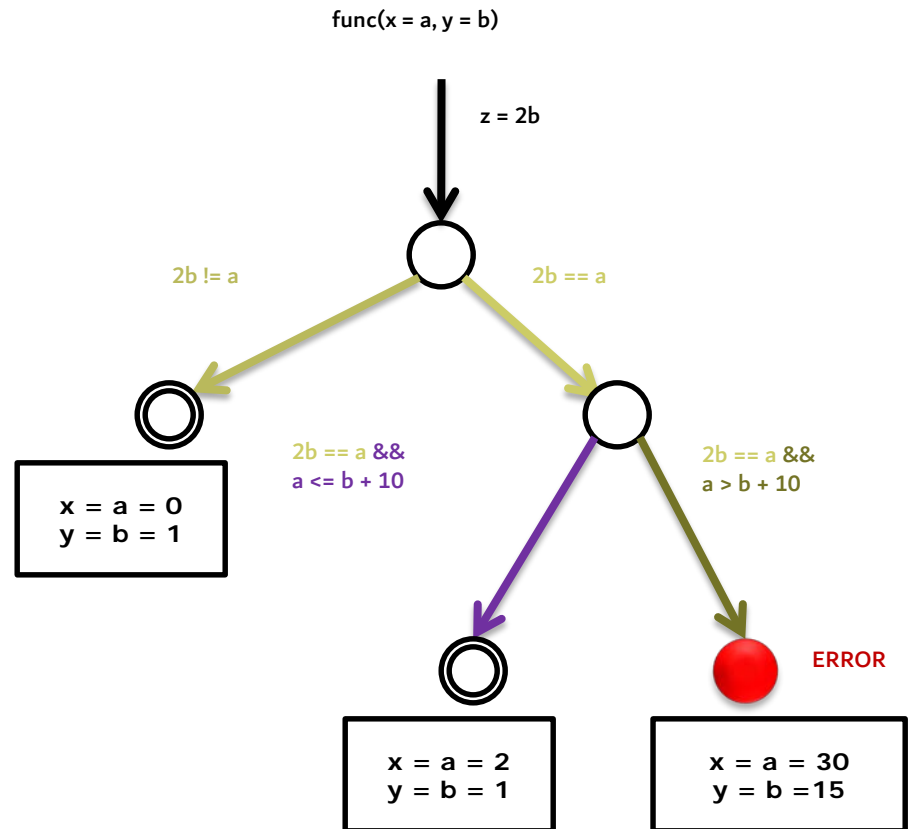
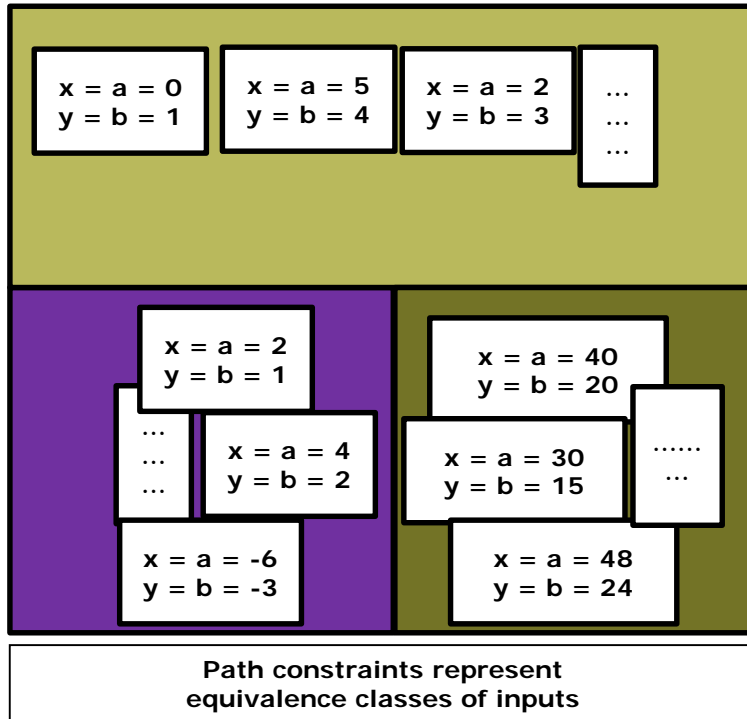
How does symbolic execution work?



Note: Require inputs to be marked as symbolic

# Symbolic Execution

How does symbolic execution work?



# Dealing with branching statements

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a sample  
program:

*Binary search for key  
in sorted array  
**dictKeys**, returning  
corresponding value  
from **dictValues** or null  
if key does not appear  
in dictKeys.*

*Standard binary search  
algorithm as described  
in any elementary text  
on data structures and  
algorithms.*

```
char *binarySearch( char *key, char *dictKeys[ ],
                    char *dictValues[ ], int dictSize) {

    int low = 0;
    int high = dictSize - 1;
    int mid;
    int comparison;

    while (high >= low) {
        mid = (high + low) / 2;
        comparison = strcmp( dictKeys[mid], key );
        if (comparison < 0) {
            low = mid + 1;
        } else if ( comparison > 0 ) {
            high = mid - 1;
        } else {
            return dictValues[mid];
        }
    }
    return 0;
}
```

# Symbolic state

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Values are expressions over symbols

Executing statements computes new expressions

## Execution with **concrete values**

before

low	12
high	15
mid	-

$\text{mid} = (\text{high} + \text{low}) / 2$

after

low	12
high	15
mid	13

## Execution with **symbolic values**

before

low	L
high	H
mid	-

$\text{mid} = (\text{high} + \text{low}) / 2$

after

Low	L
high	H
mid	$(L + H) / 2$

# Dealing with branching statements

---

```
char *binarySearch( char *key, char *dictKeys[ ],  
                    char *dictValues[ ], int dictSize) {
```

```
    int low = 0;  
    int high = dictSize - 1;  
    int mid;  
    int comparison;
```



```
    while (high >= low) {  
        mid = (high + low) / 2;  
        comparison = strcmp( dictKeys[mid], key );  
        if (comparison < 0) {  
            low = mid + 1;  
        } else if ( comparison > 0 ) {  
            high = mid - 1;  
        } else {  
            return dictValues[mid];  
        }  
    }  
    return 0;
```

# Executing while (high $\geq$ low) {

---

before

low = 0

and high =  $(H-1)/2 - 1$

and mid =  $(H-1)/2$

while (high  $\geq$  low) {

Add an expression that  
records the condition  
for the execution of the  
branch (PATH  
CONDITION)

after

low = 0

and high =  $(H-1)/2 - 1$

and mid =  $(H-1)/2$

and  $(H-1)/2 - 1 \geq 0$



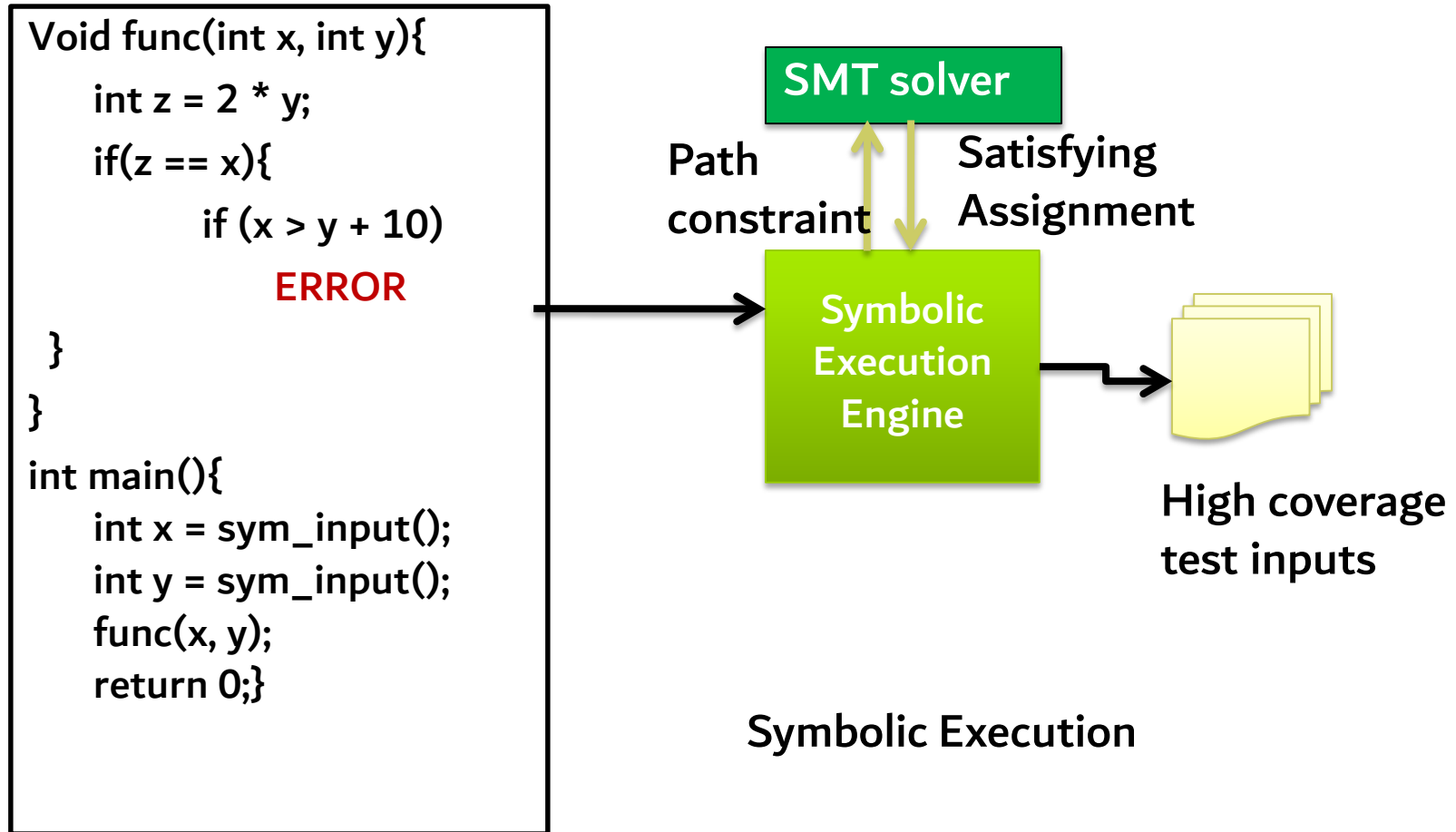
if the TRUE branch was taken

... and not( $(H-1)/2 - 1 \geq 0$ )



if the FALSE branch was taken

# Symbolic Execution



# Symbolic Execution

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- ❑ Execute the program with symbolic valued inputs (**Goal: good path coverage**)
- ❑ Represents *equivalence class of inputs* with first order logic formulas (**path constraints**)
- ❑ One path constraint abstractly represents all inputs that induces the program execution to go down a specific path
- ❑ Solve the path constraint to obtain one representative input that exercises the program to go down that specific path
- ❑ **Symbolic execution implementations:** KLEE, Java PathFinder, etc.

# Symbolic Execution (cont.)

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- Instead of concrete state, the program maintains **symbolic states**, each of which maps variables to symbolic values
- **Path condition** is a quantifier-free formula over the symbolic inputs that encodes all branch decisions taken so far
- All paths in the program form its **execution tree**, in which some paths are **feasible** and some are **infeasible**

# Symbolic Execution (cont.)

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- During symbolic execution, we are trying to determine if certain formulas are satisfiable
  - E.g., is a particular program point reachable (feasible vs. infeasible paths)?
- Figure out if the path condition is satisfiable
  - E.g., is array access  $a[i]$  out of bounds?
- Figure out if conjunction of path condition and  $i < 0 \vee i > a.length$  is satisfiable
  - E.g., generate concrete inputs that execute the same paths
- This is enabled by powerful **SMT/SAT solvers**
  - SAT = Satisfiability
  - SMT = Satisfiability modulo theory = SAT++
  - E.g. Z3, Yices, STP

# Summary information

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- ❑ Symbolic representation of paths may become extremely complex
- ❑ We can simplify the representation by replacing a complex condition  $P$  with a weaker condition  $W$  such that

$$P \Rightarrow W \text{ (} P \text{ implies } W \text{)}$$

- ❑  $W$  describes the path with less precision
- ❑  $W$  is a *summary* of  $P$

# Example of summary information

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(Referring to Binary search: Line 17 ,  $mid = (high + low) / 2$  )

- If we are reasoning about the correctness of the binary search algorithm, the complete condition:

$low = L$

and  $high = H$

and  $mid = M$

and  $M = (L + H) / 2$

- Contains more information than needed and can be replaced with the weaker condition:

$low = L$

and  $high = H$

and  $mid = M$

and  $L \leq M \leq H$

- The weaker condition contains less information, but still enough to reason about correctness.

# Loops and assertions

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- ❑ A predicate stating what *should* be true at a given point can be expressed in the form of an **assertion**
- ❑ The number of execution paths through a program with loops is potentially infinite
- ❑ To reason about program behavior in a loop, we can place within the loop an **invariant**:
  - assertion that states a predicate that is expected to be true each time execution reaches that point.
- ❑ Each time program execution reaches the invariant assertion, we can weaken the description of program state:
  - If predicate  $P$  represents the program state
  - and the assertion is  $W$
  - we must first ascertain  $P \Rightarrow W$
  - and then we can substitute  $W$  for  $P$

# Pre- and post-conditions

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- Suppose:
  - every loop contains an assertion
  - there is an assertion at the beginning of the program
  - a final assertion at the end
- Then:
  - every possible execution path would be a sequence of segments from one assertion to the next.
- Terminology:
  - Precondition: The assertion at the beginning of a segment,
  - Postcondition: The assertion at the end of the segment

# Verifying program correctness

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- If for each program segment we can verify that
  - Starting from the precondition
  - Executing the program segment
  - The postcondition holds at the end of the segment
- Then
  - We verify the correctness of an infinite number of program paths

# Example

---

```
char *binarySearch( char *key, char *dictKeys[ ],  
    char *dictValues[ ], int dictSize) {
```



*Precondition:* is sorted:

```
int low = 0;  
int high = dictSize - 1;  
int mid;  
int comparison;
```

Forall{ $i, j$ }  $0 \leq i < j < \text{size}$   
:  $\text{dictKeys}[i] \leq \text{dictKeys}[j]$

```
while (high >= low) {  
    mid = (high + low) / 2;  
    comparison = strcmp( dictKeys[mid], key  
    if (comparison < 0) {  
        low = mid + 1;  
    } else if ( comparison > 0 ) {  
        high = mid - 1;  
    } else {  
        return dictValues[mid];  
    }  
}  
return 0;
```



*Invariant:* in range

Forall{ $i$ }  $0 \leq i < \text{size}$  :  
 $\text{dictKeys}[i] = \text{key} \Rightarrow$   
 $\text{low} \leq i \leq \text{high}$

# Executing the loop once....

Initial values:  $\text{low} = L$   
and  $\text{high} = H$

Precondition  
 $\text{Forall}\{i,j\} \ 0 \leq i < j < \text{size}$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$

Instantiated invariant:  $\text{Forall}\{i,j\} \ 0 \leq i < j < \text{size} :$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$   
and  $\text{Forall}\{k\} \ 0 \leq k < \text{size} :$   
 $\text{dictKeys}[k] = \text{key} \Rightarrow L \leq k \leq H$

After executing:  $\text{mid} = (\text{high} + \text{low})/2$

Invariant  
 $\text{Forall}\{i\} \ 0 \leq i < \text{size} :$   
 $\text{dictKeys}[i] = \text{key} \Rightarrow$   
 $\text{low} \leq i \leq \text{high}$

**Note.**

**$M = (L+H)/2$   
(possibly  
rounded to  
closest  
smallest  
integer)**

$\text{low} = L$   
and  $\text{high} = H$   
and  $\text{mid} = M$   
and  $\text{Forall}\{i,j\} \ 0 \leq i < j < \text{size} :$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$   
and  $\text{Forall}\{k\} \ 0 \leq k < \text{size} :$   
 $\text{dictKeys}[k] = \text{key} \Rightarrow L \leq k \leq H$   
**and  $H \geq M \geq L$**

....

## ...executing the loop once

Note.  $low = mid + 1$

is executed after  
the "if" condition is true

condition is true

After executing the loop

and the "if" condition is true

**low = M+1**  
and high = H  
and mid = M  
and  $\text{Forall}\{i,j\} \ 0 \leq i < j < \text{size} :$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$   
and  $\text{Forall}\{k\} \ 0 \leq k < \text{size} :$   
 $\text{dictKeys}[k] = \text{key} \Rightarrow L \leq k \leq H$   
and  $H \geq M \geq L$   
**and dictkeys[M]<key**

The new instance of the invariant:

$\text{Forall}\{i,j\} \ 0 \leq i < j < \text{size} :$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$   
and  $\text{Forall}\{k\} \ 0 \leq k < \text{size} :$   
 $\text{dictKeys}[k] = \text{key} \Rightarrow M+1 \leq k \leq H$

### Invariant

$\text{Forall}\{i\} \ 0 \leq i < \text{size}$   
:  
 $\text{dictKeys}[i] = \text{key} \Rightarrow$   
 $low \leq i \leq high$

If the invariant is satisfied, the loop is correct wrt the preconditions and the invariant

# From the loop to the end

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If the invariant is satisfied, but the condition is false:

## **Invariant**

$\text{Forall}\{i\} \ 0 \leq i < \text{size} :$   
 $\text{dictKeys}[i] = \text{key}$   
 $\Rightarrow$   
 $\text{low} \leq i \leq \text{high}$

$\text{low} = \text{L}$   
 $\text{and high} = \text{H}$   
 $\text{and Forall}\{i, j\} \ 0 \leq i < j < \text{size} :$   
 $\text{dictKeys}[i] \leq \text{dictKeys}[j]$   
 $\text{and Forall}\{k\} \ 0 \leq k < \text{size} :$   
 $\text{dictKeys}[k] = \text{key} \Rightarrow \text{L} \leq k \leq \text{H}$   
**and  $\text{L} > \text{H}$**

If the condition satisfies the post-condition, the program is correct wrt the pre- and post-condition.

What does the above statement mean?

It means that no such  $k$  exists.

# How does Symbolic Execution Find bugs?

- It is possible to extend symbolic execution to help us catch bugs
- **How**: Dedicated checkers
  - **Divide by zero example** ---  $y = x / z$  where  $x$  and  $z$  are symbolic variables and assume current path condition is  $f$
  - Even though we only fork in branches, we will now fork in the division operator
  - One branch in which  $z = 0$  and another where  $z \neq 0$
  - We will get two paths with the following constraints:  

$z = 0 \ \&\& \ f$

$z \neq 0 \ \&\& \ f$
  - Solving the constraint  $z = 0 \ \&\& \ f$  will give us concrete input values that will trigger the divide by zero error.

**Write a dedicated checker for each kind of bug (e.g., buffer overflow, integer overflow, integer underflow)**

# How does Symbolic Execution Find bugs?

## Example

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Use symbolic execution to find a test case which reveal a division by zero bug for the following program:

```
int foo(int i) {  
    int j = 2 * i;  
    i = i + 1;  
    i = i * j;  
    if (i < 1)  
        i = -i;  
    i = j/i;  
    return i;  
}
```

# Pen and paper exercise

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- Apply symbolic execution on the following program. Identify **feasible** paths and **infeasible** paths.

```
void hello(int x, int y) {  
    int t = 0;  
    if (x > y) {  
        t = x;  
    } else {  
        t = y;  
    }  
  
    if (t < x) {  
        print("Hello World");  
    }  
}
```